11-18-08

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Docket No. **CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)** Applicant(s): Lilly, et al. Anon-001:C Customer No. Application No. Filing Date Examiner Group Art Unit March 18, 2004 10/803,259 Najarian, Lena 021897 3626 Invention: Controlled Substance Tracking System and Method,

NOV 1 7 2008

I hereby certify that the following correspondence:

Transmittal Letter (General - Patent Pending), and all documents referenced therein

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

November 17, 2008 (Date)

Jennifer Castaneda
(Pyped or Printed Name offPerson Mailing Correspondence)

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EV 516732506 US

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EV57P3350P0Z

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TRANSMITTAL LETTER Docket No. (General - Patent Pending) Anon-001:C In Re Application Of: Lilly, et al. Application No. Group Art Unit Filing Date Examiner Customer No. Confirmation No. 5397 10/803,259 March 18, 2004 Najarian, Lena 021897 3626 Title: **Controlled Substance Tracking System and Method COMMISSIONER FOR PATENTS:** Transmitted herewith is: Return Postcard; Certificate of Mailing by Express Mail (EV 516732506 US); Amendment Transmittal Letter; and Amendment. in the above identified application. No additional fee is required. ☐ A check in the amount of is attached. The Director is hereby authorized to charge and credit Deposit Account No. 13-2166 as described below. Charge the amount of Credit any overpayment. Charge any additional fee required. Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Dated: November 17, 2008 Signature William E. Johnson, Jr. Reg. No. 22,719 The Matthews Firm (Customer No. 021897) I hereby certify that this correspondence 2000 Bering Drive, Suite 700 deposited with the United States Postal Service with sufficient postage as first class mail in an envelope Houston, Texas 77057 addressed to the "Commissioner for Patents, P.O. Box US 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on 713-355-4200 Telephone 713-355-9689 Facsimile (Date)

CC:

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

AMEND	AMENDMENT TO ANGRATUTAL LETTED (C. H.E. ('4))							
AMENDMENT TRANSMITTAL LETTER (Small Entity) Applicant(s): Lilly, et al.							cket No. on-001:C	
					一十			
Application No.	Filing Date	Examiner	1	Customer N	10.1	Group Art Unit		
10/803,259	March 18, 2004	Najarian, Lena	!	021897	\perp	3626	5397	
Invention: One of the controlled Substance Tracking System and Method The controlled Substance Tracking System and Method								
COMMISSIONER FOR PATENTS: Transmitted therewith is an amendment in the above-identified application. Applicant claims small entity status. See 37 CFR 1.27								
The fee has been calculated and is transmitted as shown below.								
		CLAIMS AS AM	ENDEC)	_			
	CLAIMS REMAINING	HIGHEST#	NUMBI	ER EXTRA		PATE	ADDITIONAL	
	AFTER AMENDMENT	PREV. PAID FOR	CLAIMS	S PRESENT	<u> </u>	RATE	FEE	
TOTAL CLAIMS	12 -	20 =		0	х	\$26.00	\$0.00	
INDEP. CLAIMS	2 -	3 =		0	x	\$110.00	\$0.00	
Multiple Dependen	nt Claims (check if appl	licable)					\$0.00	
		TOTAL ADDITIONAL F	EE FO	R THIS AME	END	MENT	\$0.00	
No additional fee is required for amendment. Please charge Deposit Account No. in the amount of A check in the amount of to cover the filing fee is enclosed. The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 13-2166 Any additional filing fees required under 37 C.F.R. 1.16. Any patent application processing fees under 37 CFR 1.17. Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
William E. Johnso	Signature		Dated:				g deposited with the	
Reg. No. 22,719 The Matthews Firm (Customer No. 021897) 2000 Bering Drive, Suite 700 Houston, Texas 77057 US				United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on (Date)				
713-355-4200 Tele								
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Ralph B. Lilly

Attorney Docket: Anon-001:C

Serial No.: 10/803,259

Examiner: Lena Najarian

Filed: March 18, 2004

Art Unit: 3626

For: **Controlled Substance Tracking**

System and Method

Confirmation No.: 5397

COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313-1450

<u>AMENDMENT</u>

Sir:

Responsive to the Office Action dated August 18, 2008, please amend the above-identified application as follows:

IN THE CLAIMS

Please amend the claims as set forth in the enclosed STATUS OF CLAIMS.